Mentoring of at-risk students

by Vilna Bashi

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Mentoring caught the public's attention in the late 1970s when the *Harvard Business Review* published two articles on mentoring in the business setting. The first (published in 1978) was titled “Everyone Who Makes It Has a Mentor.” In it three foodchain executives were interviewed about their careers (the generalization implied by the title was left unsubstantiated). The second presented data collected on successful executives and found that two-thirds reported having had a mentor. On average, those in the study who had mentors were likely to earn more at a younger age, be better educated, and be more satisfied with their jobs and careers. After these articles, subsequent writings focused mainly on the importance of mentors in teaching career skills (like networking) to young professionals or in furnishing certain keys to “success.”

In the 1980s the mentoring focus shifted to an educational setting. The I Have a Dream (IHAD) program began in 1981 when multimillionaire Eugene Lang spoke before an East Harlem (New York City) sixth-grade class and offered the students college tuition guarantees if they completed high school. (IHAD includes a mentoring component in addition to the tuition guarantee.) The event set off a new wave of mentoring programs in public high and junior high schools, mainly to combat high dropout rates and encourage postsecondary school matriculation. Mentoring programs are also found at the college or university level, where they exist chiefly to encourage students to stay in school or to direct students toward certain career options. Mentors for both secondary school youth and college students are usually seen as serving as role models and are implicitly charged with helping students navigate the school or university system.

Mentoring now abounds in both business and academic settings. School programs can be found in elementary schools, junior high schools, high schools, and universities. So-called mentoring programs are also in place to assist graduate students, junior faculty, and junior administrators. Some programs are designed to work with the gifted as well as the disadvantaged, to target certain fields, and to target underrepresented population subgroups. According to Sharan Merriam,

> One of the more intriguing topics to have emerged in several fields within the last few years is that of mentoring. The subject of talk shows, business seminars, journal and magazine articles, the interest in mentoring has reached, in one writer's terms, “mania” proportions. The listener or reader is told that mentoring is the key to career and academic success, as well as a necessary ingredient in psycho-social development.

But now, after nearly fifteen years of what one might call “the mentoring experience,” and volumes of literature (both academic and popular) on the subject, it is still unclear how mentoring works, and how well it works, if in fact it works at all. In an attempt to determine from the extant literature what is known about the mentoring process, this article summarizes the findings of research on mentoring in the educational setting, focusing specifically on programs that put at-risk or disadvantaged young people together with mentors.

Dearth of empirical research

An extensive search for empirical literature on mentoring of at-risk students showed truly meager results. (The studies—and their drawbacks—are examined in the next section.) This is not to say that because one finds little empirical work on the issue, there is little “out there” to study. Cora Marrett notes that as the number of programs designed to help minority or at-risk students has grown, so has the mentoring component in such programs become widespread. She attributes this expansion to the education literature coming out in recent years in support of the idea:

> [The literature suggests that] some degree of sponsorship would be important, particularly for those on the
Despite our readiness to incorporate mentoring into existing programs and create new programs where the primary focus is on mentoring, we cannot say we know what the results of mentoring are. Several reasons are offered for this lack of empirical evidence. When talking with program coordinators and other authorities on mentoring, one is confronted with the well-known explanation that evaluation and program design but with evaluation design as well. (On this I elaborate below.) The vast majority of work written on the subject is still, as Sharan Merriam wrote in 1983, "relatively unsophisticated." There exist questions about who should be a mentor. On this question, Marc Freedman suggests that "the most effective elders were those who had not lived what would be considered 'successful' lives." Indeed, no clear definition exists of what a mentor is, nor what qualifies as a mentor-protégé relationship in an academic setting. The various empirical studies defined the word differently. In one, "mentors" were community members (outside of the college system); in another faculty members served as "mentors." A third carefully made distinctions between teachers and mentors, implying a teacher could not be a mentor. Concha Delgado-Gaitan uses the term "mentor" to describe both parents and teachers of alternative programs. Henry Trueba and Delgado-Gaitan, in their ethnographic study of ten Chicano and four Anglo families in Colorado, present a descriptive but rather convincing account of "the way parents function as agents of academic socialization, especially in their role as mentors." They pose the idea that students who stayed in school had a great deal of family/parental support, and that this is "essentially a mentorship role played by the parents." Other academic literature on mentoring (i.e., that either showed no empirical results or did not explicitly use at-risk students as protégés) showed similar lack of consensus on a definition, although there was agreement about the origin of the word. Most assumed it was a reference to Mentor in Homer's Odyssey, to whom Ulysses entrusted his son Telemachus. Mentor was to guard, guide, and teach Telemachus while Ulysses was away. Beyond this attribution and perhaps other descriptive statements, there were usually no more definitive statements on what it is that a mentor is meant to do. Often the words "mentor," "guide," "sponsor," and "role model" were used interchangeably. Daniel Levinson, in probably the most quoted book on mentoring in adult development, has a concept of the mentor that includes being a "teacher," "sponsor," "host," "guide," and "exemplar." Further, he says that the mentor is one who provides "counsel and moral support in times of stress," and who enhances the development of "skills and intellect." Levinson also describes mentoring as an intense "form of love relationship." Laurent Daloz has a definition of "mentor" that is even more vague:

"Mentors are guides. They lead us along the journey of our lives. We trust them because they have been there before. They embody our hopes, cast light on the way ahead, interpret arcane signs, warn us of lurking dangers, and point out unexpected delights along the way." Merriam's work makes a significant contribution here: Studies that incorporate Levinson's and Daloz's definitions tend to find fewer incidences of mentoring than those that tend to broadly define it as a helping, sponsorship-type activity.

Evidently the lack of consensus on the definition of a mentor has implications for evaluating the results of mentoring programs.

Empirical studies of mentors for at-risk youth

Three empirical evaluations of mentoring programs list retention in school among the goals of the program: (1) the year-end report of the "Faculty Mentoring System for Minority Student Retention," at Glendale Community College, in Arizona, evaluated by José Mendoza and Carl Samuels; (2) the 1977 evaluation by Martin Obler and others of the Teacher-Mentor-Counselor (TMC) Program at Brooklyn College, New York; and (3) the study by Angelo Atondo and others on the Puente Project at Evergreen Valley College in California.

As can be gathered from the program title, the Mentoring System at Glendale Community College targets minority student retention as its sole objective. Mendoza and Samuels's findings suggest that the program's utilization of faculty members (who volunteer) as "personal counselors/mentors" for minority students does have a positive impact on student retention and student "sustainment." The evaluation included data on both control and treatment groups, and found that the mentored sample ended the program with a lower grade point average (2.09) than controls (2.60 for each of two control samples), but that more of those mentored (94.5 percent of the treatment group as opposed to 70 percent and 72.5 percent of controls) finished the semester. The researchers drew no conclusions about the effects of mentoring but acknowledged their results, suggesting that "the Faculty Mentors [may have] emphasized staying in school and completing courses at the expense of higher grades." They also noted that the "risk of attrition is greatest for new first time minority students who fail to apply for financial aid)—therefore these students would most benefit from future mentoring/retention/student success efforts." But if these students left school because they could not carry the financial burden, it is valid to
question whether better financial aid outreach would show results similar to the "mentoring" component. In other words, researchers here may be attributing to mentoring benefits that do not accrue (solely) from a mentor-protégé relationship, and could result from interactions (such as a talk with a financial aid officer) that one would be hard pressed to classify as mentoring.

A second study evaluated the Teacher-Mentor-Counselor (TMC) experiment of Brooklyn College, which operated to both retain disadvantaged, underprepared minority students admitted under the "Seek" and open admissions programs, and to combat the "revolving door" syndrome whereby students enter and leave the college system with little change in their academic abilities. The primary focus of TMC was to correct reading and writing deficiencies using a coordinated interdisciplinary approach to remediation, although mentoring, not tutoring, was said to be the focus of the program.

For example, in one unit containing English and political science, the political science instructor lectured on ethnic and racial relations in America, while the English instructor analyzed a novel covering racial conflict in a colonial empire and required written papers on the subject matter. Similarly, in a Spanish class students were required to read a translated work of a novel covered by the English instructor, while the remedial reading instructor reviewed comprehension problems from both of the required texts.

TMC therefore included not only teacher mentors for students but it also coordinated the work of program staff and instructors. The evaluation was designed to compare treatment and control groups served during the first five years of TMC operation. The most characteristic feature of the program was the built-in structure that encouraged constant interaction among students and instructors, counselors, and remedial staff. The experimental group had daily contact with this support group, whereas the control group had many fewer contacts. They had half as many conferences with instructors; one-third the number of contacts with counselors, and one-sixth the number of meetings with the remedial staff. The variables used to assess the effects of mentoring were retention, number of credits completed with this support group, whereas the control group had many fewer contacts. They had half as many conferences with instructors; one-third the number of contacts with counselors, and one-sixth the number of meetings with the remedial staff. The variables used to assess the effects of mentoring were retention, number of credits completed with the remedial reading instructor reviewed comprehension problems from both of the required texts.

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Table I

<table>
<thead>
<tr>
<th></th>
<th>1970 Treatment</th>
<th>1970 Control</th>
<th>1971 Treatment</th>
<th>1971 Control</th>
</tr>
</thead>
<tbody>
<tr>
<td>N</td>
<td>150</td>
<td>150</td>
<td>250</td>
<td>198</td>
</tr>
<tr>
<td>% retained to junior year</td>
<td>56.8%</td>
<td>38%</td>
<td>62.4%</td>
<td>58%</td>
</tr>
<tr>
<td>Of those retained, % with positive GPA deviations</td>
<td>64%</td>
<td>—</td>
<td>70.4%</td>
<td>46.7%</td>
</tr>
<tr>
<td>Mean credits completed</td>
<td>51</td>
<td>46</td>
<td>—</td>
<td>—</td>
</tr>
</tbody>
</table>


Note: The numbers in the table were gleaned from the text, and dashes are placed where the appropriate figures were not presented in the paper. Differences between treatment and control groups in 1970 are reported to be significant at the .001 level, and in 1971 at the .05 level (see Obler et al., pp. 144-145).

The Puente Projects are designed to provide the elusive benefits of mentoring to students with language barriers on top of the problems of other at-risk students. Operating at ten California community colleges, the program seeks to retain students in school, to encourage them to complete general education requirements (including English), and to increase transfer to four-year colleges. The project teams an English teacher, a Hispanic counselor, and Hispanic professionals acting as mentors for Hispanic students. The study, carried out by Angelo Atondo, Mauro Chavez, and Richard Regua, compared 115 Puente students (not randomly selected) with 273 controls (who took similar courses on their own) over a three-year period with significant results. Their findings were as follows:

which picked up immediately the next semester—above their control counterparts. This gap increased in subsequent semesters. The success of this program is not in dispute, but the inferences one can make for the effects of mentoring are questionable. Although treatment students fared significantly better than did the controls, researchers "could not isolate the specific variables contributing to student academic success. Lack of adequate controls, staff personality factors, and the contribution of counseling vs. instructional programs were not isolated. Thus, although we know that integration of services within a team approach has been successful at Brooklyn College, we do not know why."
• 89 percent of the Puente students completed English 330 (entry level) compared to 46 percent of other Hispanic students
• 70 percent of the Puente students completed English 1A (the next level) compared to 8 percent of other Hispanic students
• Puente students completed English 1B at a rate 14 times higher than their counterparts
• Puente students were three times as likely to remain enrolled at Evergreen Valley College (53 percent vs. 17 percent)
• All 21 of the Hispanic students who began English 330 in fall 1983 and 1984 and who had received or applied for an Associate’s degree by fall 1985 were enrolled in the Puente Program
• All 14 of the students from the original group who transferred to a four-year college were Puente students.

The researchers concluded:

This process of linking classroom learning with community mentors has proven highly successful. Most certainly, students not only gained a greater understanding of “what it takes” to “make it,” but students learned that professional success did not mean, as some students asserted, “forgetting who you are.” Students developed a greater appreciation and respect for the ability of mentors to effectively bridge two worlds.21

Unfortunately, the paper contained no discussion of the activities in which mentors and protégé took part, nor how much time they spent together, or if these factors are significant in the mentor-protégé relationship.

My search for empirical evidence on mentoring at-risk youth uncovered two additional studies; their conclusions will be briefly summarized here. Somewhat contrary to the results above (but confirming Marrett’s statements on mentoring in scientific fields), a study of the Francis Marion College, Florence, S.C., mentor program reports that

The one clearly significant finding . . . showed mentoring to have a negative effect on the academic performance of black freshmen possibly because as the college satisfaction of blacks increases, their academic performance decreases. Mentoring in general was not found to be academically beneficial except for male and white Liberal Arts majors and all Science majors. Mentoring was found to have generally positive effects on the college satisfaction of only Undecided students, but as with black students, satisfaction scores of the Undecided students were inversely related to academic performance scores.22

Vivian Boyd and others studied the results when black male freshmen were paired with alumni within the same fraternity who were designated to act as mentors.23 Her evaluation tested for academic persistence as well as persistence “in good standing” using a treatment/control design. Researchers stated that there was a great deal of enthusiasm by the participants, but no statistically significant differences between the two groups with either outcome variable.

Variation in program design

The structure (and presence or absence) of given program components and the settings in which mentoring takes place are likely to be relevant to the effectiveness of the program itself. Some work has been done to classify mentoring programs by their design, and discuss the merits of various designs, although no empirical results are available.

Partnerships of organizations for mentoring

Mentoring programs are often the result of cooperative efforts of high schools and colleges, corporations and schools, or other collaborations. According to Carol Ascher and Wendy Schwartz,24 however, high school–college collaboratives generally have limited effectiveness. Among eight types of efforts made under these joint endeavors, they list “tutoring, mentoring and skill-building” as the third and report that the general perception is that high school personnel benefit more from contact with the college than does the disadvantaged student.25 Richard Lacey has distinguished between school-business partnerships which may resemble IHAD and the IHAD program itself. The results he presents on IHAD make it difficult for one to form conclusions about IHAD’s effectiveness.26 Nonetheless, his writing is definitely sympathetic to IHAD. He argues that although school-business partnerships have a mentoring-type structure, they cannot be as effective as IHAD.

Such projects have typically been most successful when they emphasize three characteristics: first, mutual involvement of individuals from the school and the company—a focus on individuals’ time and effort rather than corporate financial contributions; second, firm commitments from both parties, often in the form of contracts; third, strong leadership from the top—both the chief executive officer of the company and the school principal. . . .

Three drawbacks of school-business partnerships, however, contrast with and illuminate the strengths of I Have a Dream. First, the commitments are essentially between organizations rather than individuals. Consequently, when organizational priorities change, the commitments change as well. Second, the commitment to partnership is usually tentative and conditional, depending greatly upon factors such as economic conditions and the tenure of top management. The third drawback is that partnerships cannot mobilize and deliver services to individual youth over the period of time required in I Have a Dream.27
Lacey further argued that neither can coordinated social service delivery programs do the job:

[These] programs focus on a range of necessary academic and social supportive services at the school level. A fundamental difference between these programs and IHAD] is the lack of a personal sponsor and extended supportive services. Social and recreational services, tutoring and other resources are delivered primarily by volunteers or government employees whose commitment is limited.28

Although Lacey claims to distinguish “true” mentoring programs from school-business partnerships and social service delivery programs (not—in his opinion—mentoring programs), nowhere else was such a distinction made.

Tuition guarantees and mentoring

A number of programs combine postsecondary tuition guarantees with mentoring. In a study of private programs that guarantee student aid for college, the U.S. General Accounting Office identified four different tuition-guarantee programs, two of which are relevant to this discussion by virtue of their mentoring components: these are “sponsorship” and “university-based” programs. Although nothing was said about the effectiveness of either type, GAO researchers were able to characterize the major features of each. Sponsorship programs (the I Have a Dream program and those modeled after it) are ones, again, which offer college tuition to a selected class of students still at the junior high or elementary school level. Individual sponsors (or those designated by community or corporate sponsors) agree to serve as mentors and arrange to pay for staff and services throughout the schooling years.

These programs’ designers appear to view the young persons’ whole lives as being at risk, often on account of the disadvantaged community or neighborhood where the students’ school is located. Accordingly, sponsorship programs may aim to supply the emotional support and total involvement of a substitute or added parent, together with a supportive small group of other young people undergoing similar changes of outlook, and bolstered by a wide range of services. The implication is that the types of support students need to get to college—academic, emotional, financial—are needed early but are not available in their immediate communities, and that strong external intervention can raise the expectations of those around the young person both at home and school. In their strongest form, such programs aim to radically restructure, from a relatively early point, the forces affecting poor children’s lives.29

University-based programs include tutoring or other academic support and assistance, “personal mentoring,” summer or school-year “enrichment experiences,” developed at a specific university. The programs the GAO discusses are ones that offer a tuition guarantee in addition to these characteristics, with the guarantee either restricted to the university in which the program is based, or transferable to any university the student chooses.

These designs may range from something very like the sponsorship model . . . to a guarantee to selected students in selected cities in one state that is usable only at a particular university campus. The assumptions about what students need in order to succeed may vary from the broadest assessment and most comprehensive services to little more than the guarantee alone. Programs with guarantees limited to a specific university campus may also reflect past problems of student adaptation, and may therefore stress activities to familiarize students with specific campus facilities and locations, academic demands, and student culture to help assure that students who eventually attend are ready to do their best and do not have to endure unnecessary shocks or surprises.30

Conclusion

There exists no clear definition for what a mentor is, nor what qualifies as a mentor-protégé relationship, nor how this relationship really works. For example, recall that the finding of the Mendoza and Samuels study raised the question of how a mentor might differ from a good financial aid counselor. And the studies by Delgado-Gaitan and by Trueba and Delgado-Gaitan classified both teachers and parents as mentors. Merriam writes: “As yet, studies from educational settings reveal no clear notion of how a mentor is different from an influential teacher, and, if they can be differentiated, how pervasive mentoring actually is in this setting.”31

Marrett notes that programs often have very specific guidelines as to how much time mentors and protégés must spend together, of what activities are important, but that these guidelines are often written out of past practice or by hook or by crook. (She mentions the importance of apprenticeships in job training as an example of past practice that supports mentoring-type initiatives.) Marrett suggests that mentoring programs are designed with particular goals in mind—they are developed to respond directly to particular problems, and it is the problem the designers have in mind that will determine how the program works, who comprise the pool of mentors, etc. Although information may be lacking as to what mentoring itself does, she says that the problems the programs are meant to solve are often well defined, and there is an implicit assumption that mentoring is a strategy that will help. According to Marrett, absent any empirical evidence, administrators claim that “folk wisdom” suggests that mentoring works.

If Marrett’s assessment of the field is correct, problem-identification led to practice, both of which preceded empirical research. Whether these stages evolved in the most efficient or propitious sequence is arguable, but surely empirical evidence is needed to determine if mentoring solves the problems for which it was developed. At present, such
evidence seems to be nonexistent. To illustrate, of all the administrators I contacted who were engaged in running mentoring programs, only one could cite evidence that mentoring works, and the evidence offered came not from a study on mentoring, but from research on “persistence” and “integration.” Often, literature on role models is cited to support the value of mentoring, and the two may be related, but it may be incorrect to infer that mentors assigned to, or volunteering for such roles, will serve the same purpose as a role model.

William and Marilynne Gray, in the *Proceedings of the First International Conference on Mentoring*, admit there is “not much research evidence available that says what works and why, and what doesn’t and why not,” yet P. F. Mosqueda and Robert Palaich still declare that “mentoring’s current popularity indicates that the human connection is missing for a large number of young people growing up today.” That may be the case, but it does not justify applying a concept that we know little about to problems that may be better solved with alternative approaches. In fact, Evelyn De Jesus, project coordinator for IHAD, Chicago, warns that the “connection” that is to be made is crucial to the success of the program. She summarized familiar complaints she has heard from the students:

“We don’t need anyone to feel sorry for us.”

“We don’t need pity.”

“We don’t need to feel adopted—we have our own parents.”

According to De Jesus, the mentor’s predisposition to the student can be key to whether the program works or not.

We must be certain that mentoring assists young disadvantaged or at-risk students in achieving academic or career goals. If mentoring works at all, we must learn under what circumstances and in what settings it is most effective. Mentoring in educational settings has been widespread throughout the 1980s, and programs continue to proliferate. The research agenda for the 1990s should be directed toward *empirical* evidence that answers these questions:

- What is mentoring all about?
- Does it work?
- If so, for whom does it work best? In what settings?

Or, more generally, is mentoring the most viable strategy one might use to achieve the desired educational objectives?

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5Quoted from January 1991 interview with Cora Marrett, Professor of Sociology at the University of Wisconsin-Madison, Director of the Minority Opportunity Summer Training (MOST) program for minority students interested in sociology, and adviser to mentoring programs run by the United Negro College Fund.
10Ibid., p. 19.
12Ibid., p. 100.
16Ibid., quoted from first page of “Summary and Statement of Recommendations,” which preceded the main body of the piece.
18Ibid., p. 144.
19The differences in GPA and credits completed are said to be significant at the .0001 level (Obler et al., p. 144).
20Ibid., p. 146.
23Vivian Boyd, Steven Carstens, Patricia Hunt, Stanley Hunt, Thomas Magoon, and Brian McLaren (The Counseling Center Retention Study Group), “A Fraternity-Based Retention Intervention for Black Male


26Lacey writes (p. 7): "More than half of Mr. Lang's original 61 students—34—are enrolled at least part-time in public and private colleges. Ten completed their college and sophomore year on schedule. Another nine either graduated from high school or received general equivalency diplomas." But he gives no data to enable us to compare these outcomes with outcomes for other classes in the school.

27Ibid., pp. 6-7.

28Ibid., p. 7.


30Ibid., p. 19.

31Merriam, p. 169.

32Esrol Nurse, who runs the mentoring program for minority students at the University of Wisconsin-Madison, cited the following two papers by the same authors (on "persistence" and "integration") as evidence on mentoring: (1) E. T. Pascarella and P. T. Terenzini, "Patterns of Student-Faculty Informal Interaction beyond the Classroom and Voluntary Freshman Attrition," Journal of Higher Education, 48 (1977), 540-552; and (2) "Predicting Freshman Persistence and Voluntary Dropout Decisions from a Theoretical Model," Journal of Higher Education, 51 (1980), 60-75.


34Quoted from a January 29, 1991, telephone interview with Evelyn De Jesus, program coordinator, I Have a Dream, Chicago.

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